



Copyright Basics: An introduction for Patent Professionals

Athar A. Khan April 2010

Some Basic Questions



- 1) What is a Copyright?
- 2) What does a Copyright do for me?
- 3) Can you Copyright "this" for me?
- 4) Can I use someone else's copyrighted material?





- What is Copyright?
 - Basic Law/Requirements
 - Compared to Patents/Trademarks
- What Can Be Copyrighted?
- Rights of a Copyright Holder
- Duration of a Copyright
- How to Copyright a Work (Computer Programs)
- Licensing Considerations & Fair Use

What is Copyright?



- Form of Intellectual Property Protection
 - Article 1, Section 8, Clause 8 of the U.S. Constitution
 - Authors and Inventors ... Writings and Discoveries
 - Title 17 of the United States Code
- For:
 - Original Works of <u>Authorship</u>
 - <u>Fixed</u> in a Tangible Medium of Expression
- Covers
 - Published <u>and</u> Unpublished works

What Can Be Protected? 17 U.S.C. 102(a)



- Literary Works
- Musical Works
- Dramatic Works
- Choreographic Works
- Pictorial, Graphic, and Sculptural Works
- Motion Pictures and Audiovisual Works
- Sound Recordings
- Architectural Works

Compared to Patents & Trademarks



Patents

- Inventions/Discoveries
- Patentable Subject Matter, New, Useful, and Non-Obvious

Trademarks

- Words, Phrases, Symbols, Designs
- Identify source of goods/services
- Distinguish goods/services from others

Copyrights

- Original Works of Authorship (fixed in tangible medium of expression)
- Not Inventions/Discoveries
- However, expression of Invention/Discoveries may be

Copyright vs. Design Patent



- Copyright: Generally for Non-Utilitarian Articles
 - Non-Utilitarian = Appearance, not Usefulness
 - For Utilitarian Articles: Only to extent that aesthetic features of can exists independently from the article
 - E.g. Sculpture used as base of a lamp; painting on car
- Design Patents: Novel Ornamental Features of Utilitarian Object
 - When aesthetic features are inseparable from util. object
 - E.g. Case of a computer
- Author can secure both
- USPTO Policy permits inclusion of Copyright Notice in Design Patent application

Subject Matter Requirements



- Fixation: 17 U.S.C. 102(a) & 101
 - Must be fixed in a tangible medium of expression
 - Fixed = More than transitory duration
 - Fixed by or under authority of the author
- Originality: 17 U.S.C. 102(a)
 - Must be an original work of authorship
 - Original = 1) Not Copied + 2) Minimally Creative (Feist)
- Expression, <u>not</u> Ideas: 17 U.S.C. 102(b)
 - NOT: Idea, procedure, process, system, method of operation, concept, principle, or discovery.

Statutory Rights of Copyright Owners: 17 U.S.C. 106



- Reproduction
- Derivative Works
- Distribution
- Public Performance
 - Literary, Musical, Dramatic, Choreographic, etc
- Display
- Public Performance
 - Sound Recordings: Digital Audio Transmission

Why Register?



- Prerequisite to Infringement Litigation
 - Registration
 - Infringement of an Explicit Right
- Statutory Damages: 17 U.S.C. 504
 - Either: Owner's Damages and Infringer's Profits
 - Or: Statutory Damages:
 - \$750 \$30,000 per Work (for all Infringements)
 - Willful Infringement: \$200 \$150,000

Copyright Duration (Generally)



- Created On/After 1/1/1978: 17 U.S.C. 301-305
 - Individual: Life of the Author + 70 Years
 - Joint Works: Last Surviving Author + 70 Years
 - Works For Hire or Anonymous Works:
 - Earlier of 95 yrs from publication, or 120 yrs from creation
- Created Before Prior to 1/1/1978
 - Copyright Act of 1909 Applies
 - Publication
 - Extensions

Copyright → Public Domain



- Public Domain = No Owner (Project Gutenberg)
- US federal works (court opinions, etc.)
- Authors can choose to place work in the public domain by including a notice
- Two bright line dates:
 - All works published before 1923
 - No new works until 2018

How to File a Copyright

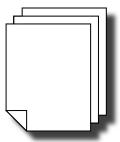


eCO Online System



Registration with Fill-In Form (2D Barcode)

Registration with Paper Forms





eCO Online System



Lower Fee (\$35)



- Direct Upload
- Fastest Processing Time (9 Months)
- Online Status Tracking

Fill-in Forms (2D Barcode)



- Complete form online
 - Print and mail
 - No alterations



• Fee: \$50

- No Confirmation from Copyright Office
 - Mail Disruption as of December 29, 2003
 - Commercial Carrier (Fedex) Confirmation
- Processing Time: Certificate within 22 Months
- Cannot be used for group registrations

Paper Forms

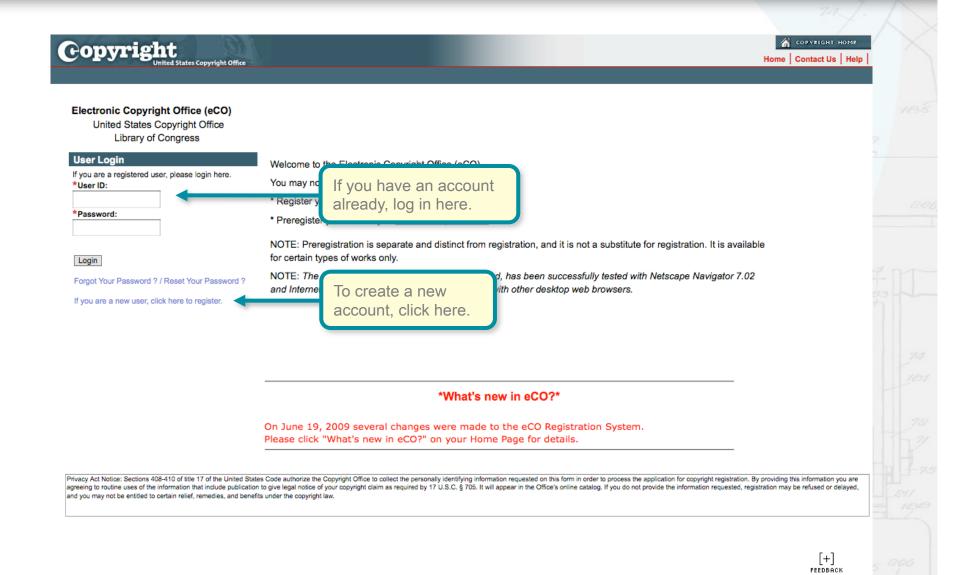


- Complete Specific Form
 - Form TX (literary works)
 - Form VA (visual arts works)
 - Form PA (performing arts works, including motion pictures)
 - Form SR (sound recordings)
 - Form SE (single serials)
- Fee: \$65
- Must request forms by mail (not available on website)
- No Confirmation from Copyright Office
 - Mail Disruption as of December 29, 2003
 - Commercial Carrier (Fedex) Confirmation
- Processing Time: Similar to Fill-In Form
- Cannot be used for group registrations

Logging in to Register a Work

Done





Starting eCO Service





Check Case Status

Open Cases Working Cases All Cases

My Company's Cases Status Definitions Search My Cases

My Applications

My Company's Applications

Copyright Services

Register a New Claim Preregister a Claim Use a Template Organization / DA

Additional Copyright Services

Access Copyright Office Information

- Ask a Question?
- Read Circulars
- Search Online Records

Electronic Copyright Office (eCO)

United States Copyright Office Library of Congress

Welcome, Jane!

Before you got started

Click here to start a new registration.

ew Claim

- Print a copy of eCO Tips to use as a reference
- Frequently Asked Questions (FAQs)
- What's new in eCO?



eCO information

As part of an effort to improve eCO eService usability and navigation, all eService Working Cases created before October 1, 2009, but not yet submitted to the Copyright Office were discarded on December 11, 2009.

Please click "What's new in eCO?" on your Home Page for details on recent changes to the eCO Registration System.

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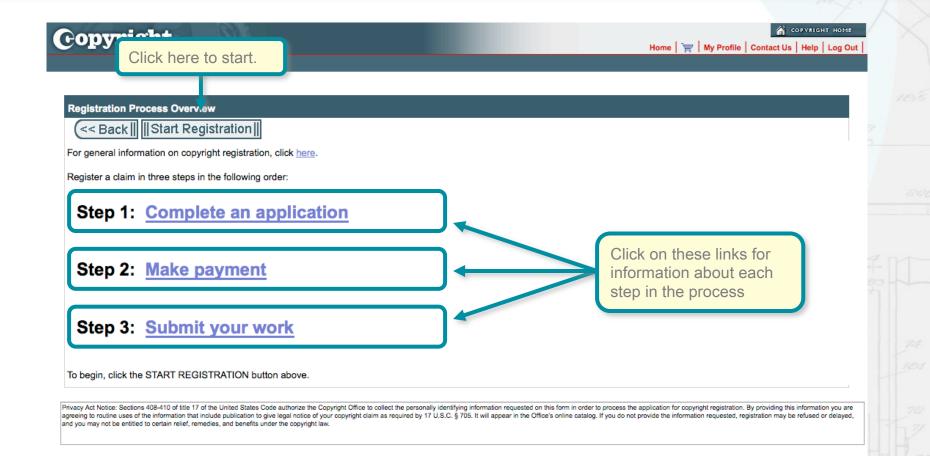
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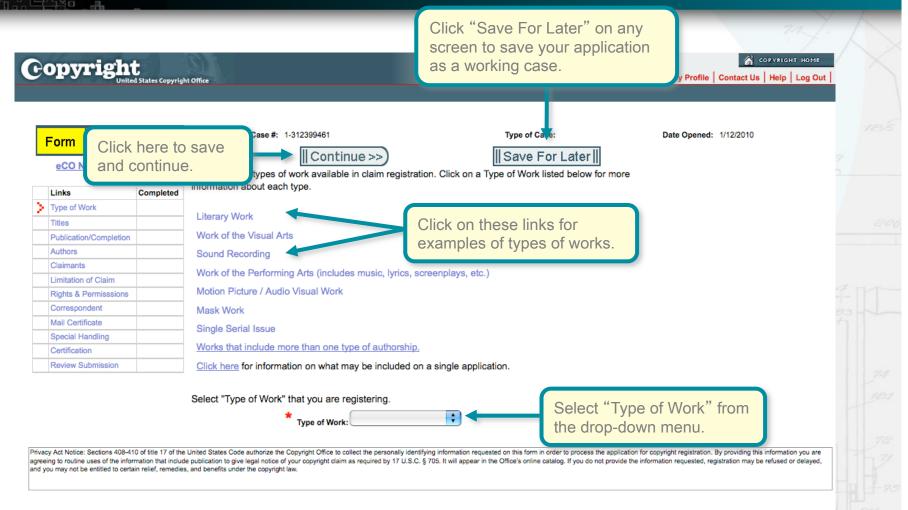
Starting the Registration Process





Selecting the Type of Work

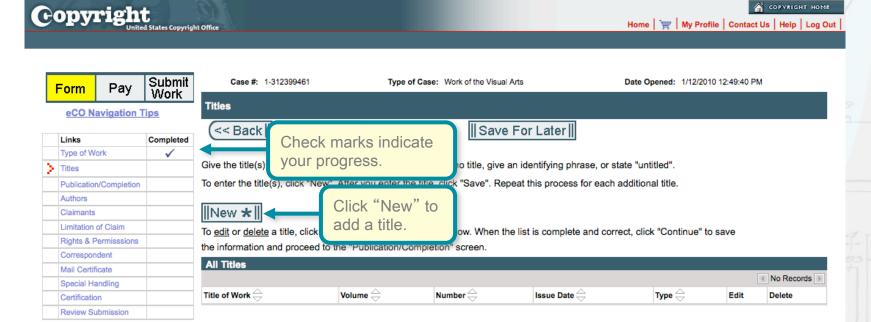




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Adding a Title



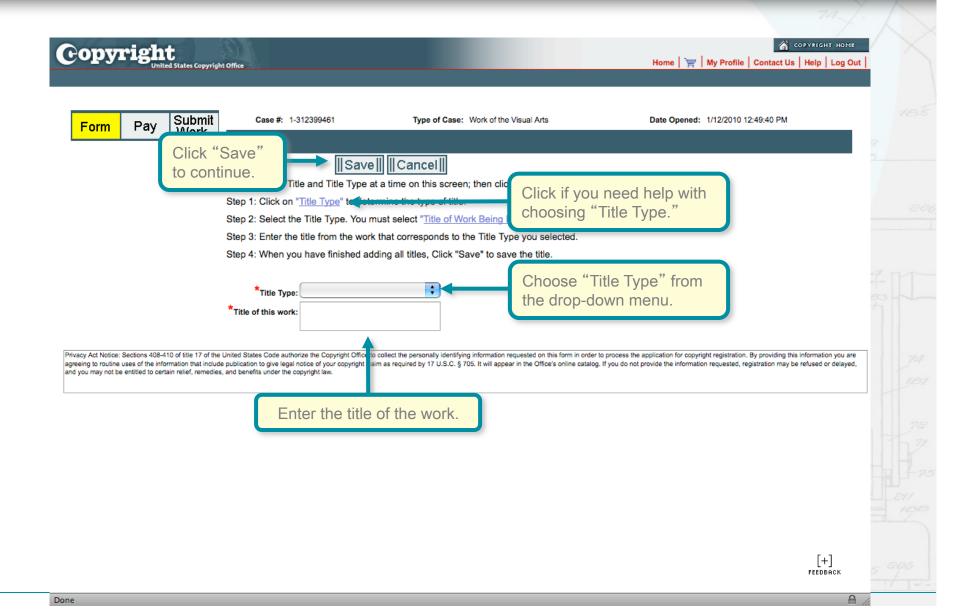


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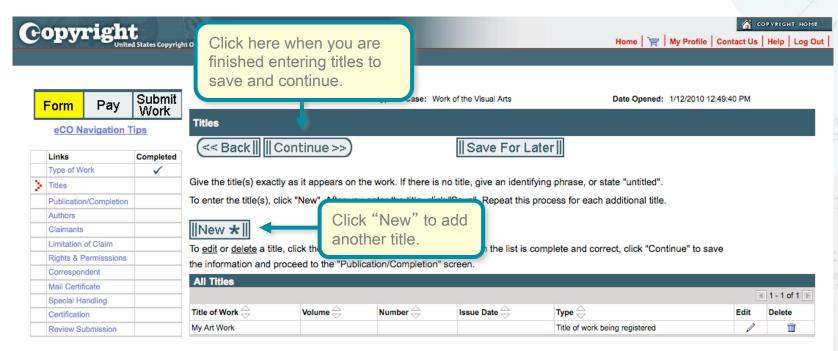
Naming the Title of the Work





Entering Multiple Titles



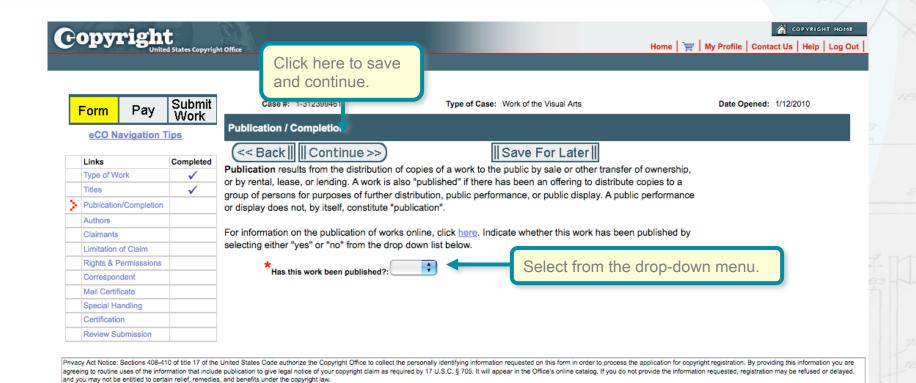


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Selecting Publication Status

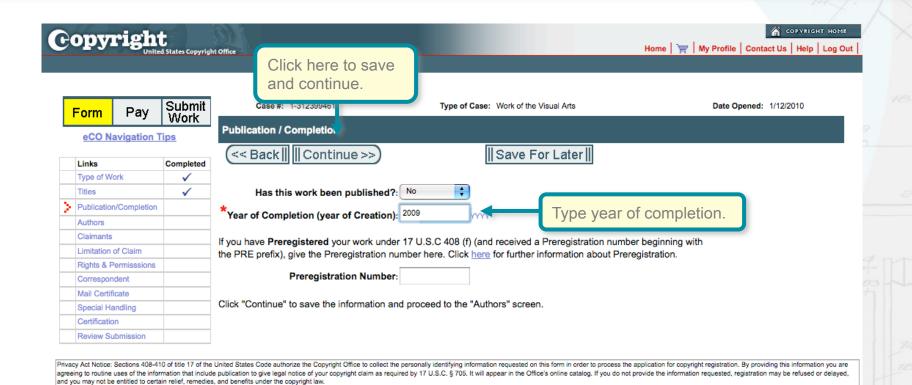




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For Unpublished Works





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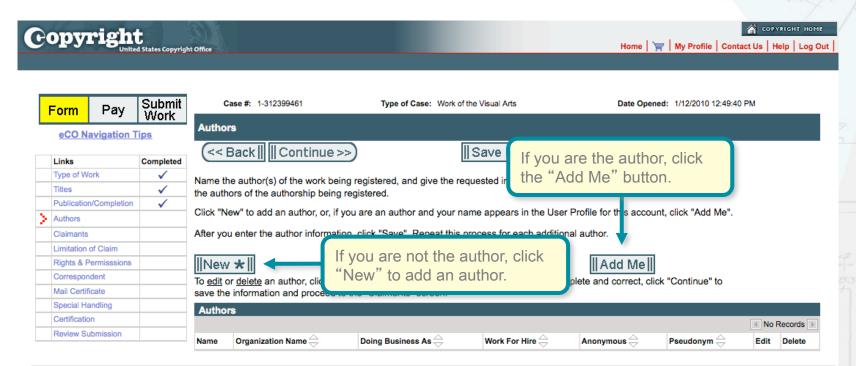
For Published Works



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Adding an Author for the Work





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Naming the Author of the Work



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	Middle Name:		7-
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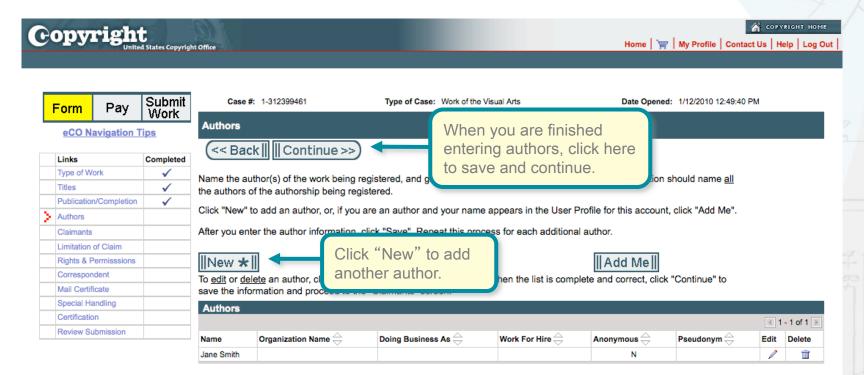
Describing the Author's Contribution



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Adding Multiple Authors





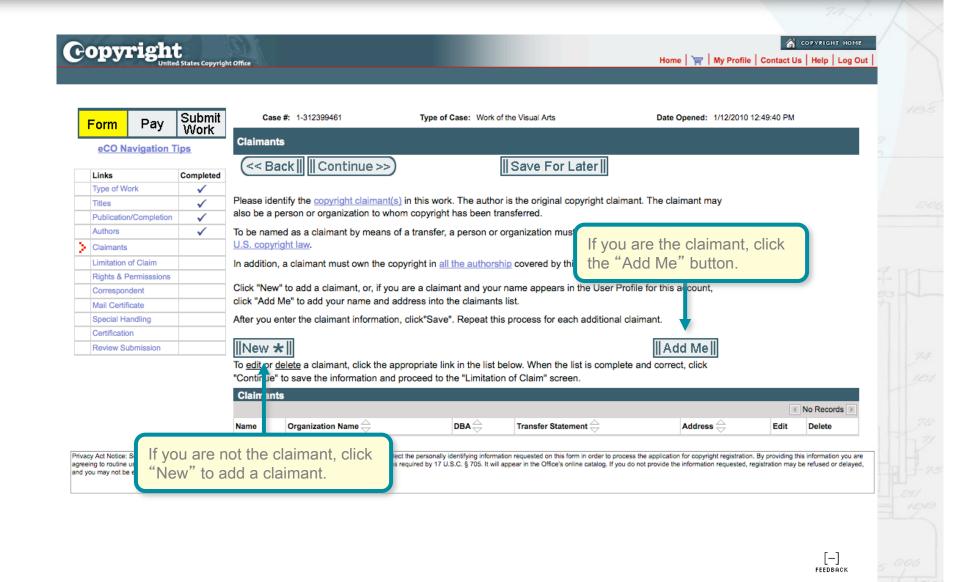
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Adding a Claimant

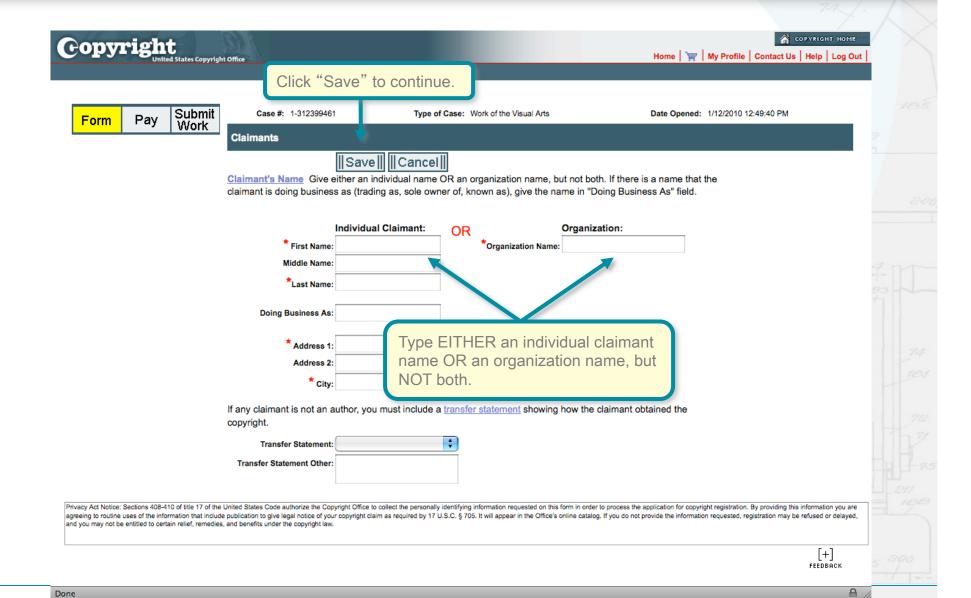
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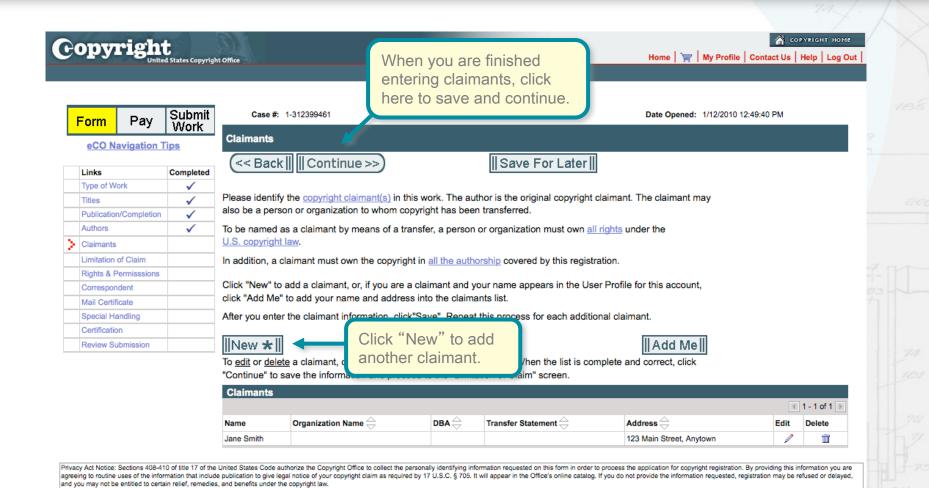
Naming a Claimant





Adding Multiple Claimants





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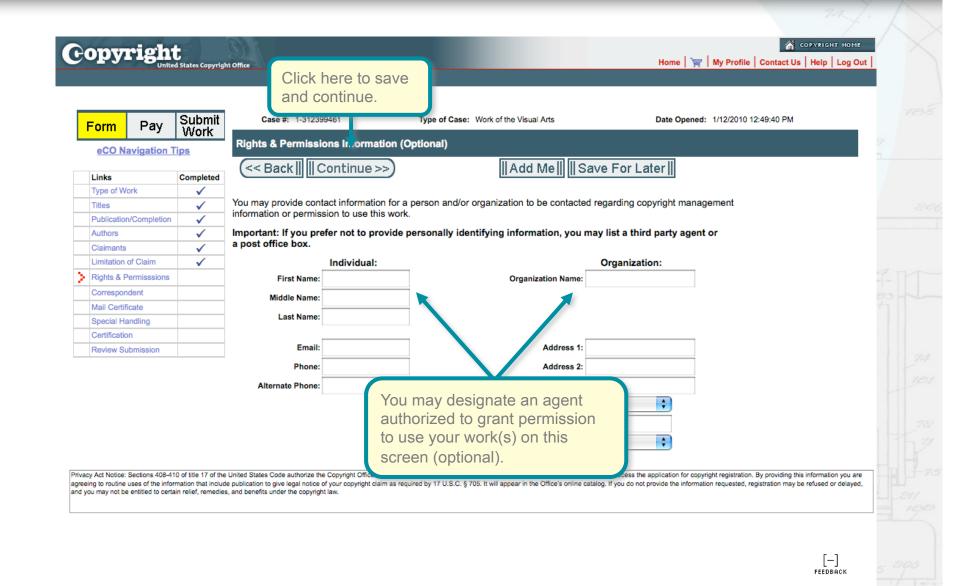
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Claimants		If your work does not conta	in any preexisting	material, click "Cont	inue" to proceed to the Rig	hts and Permissions	
Limitation of Claim		screen.					
Rights & Permisssions		Material Excluded:	Prev	vious Registration:	New Material Included:		
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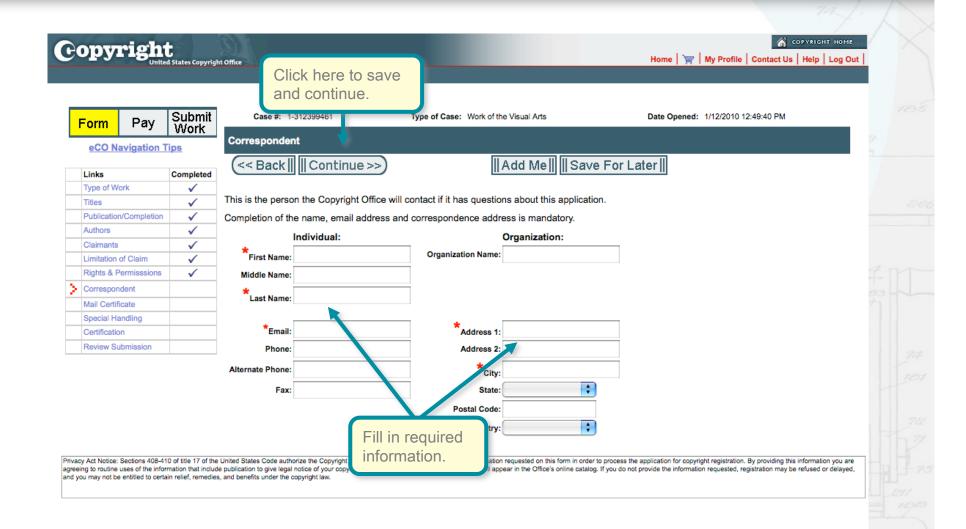
Rights and Permissions Contact





Correspondent Contact

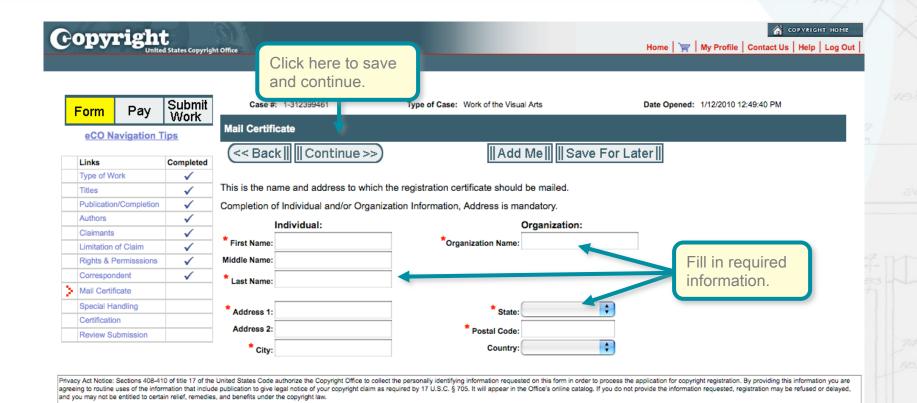




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Certificate Mailing Address

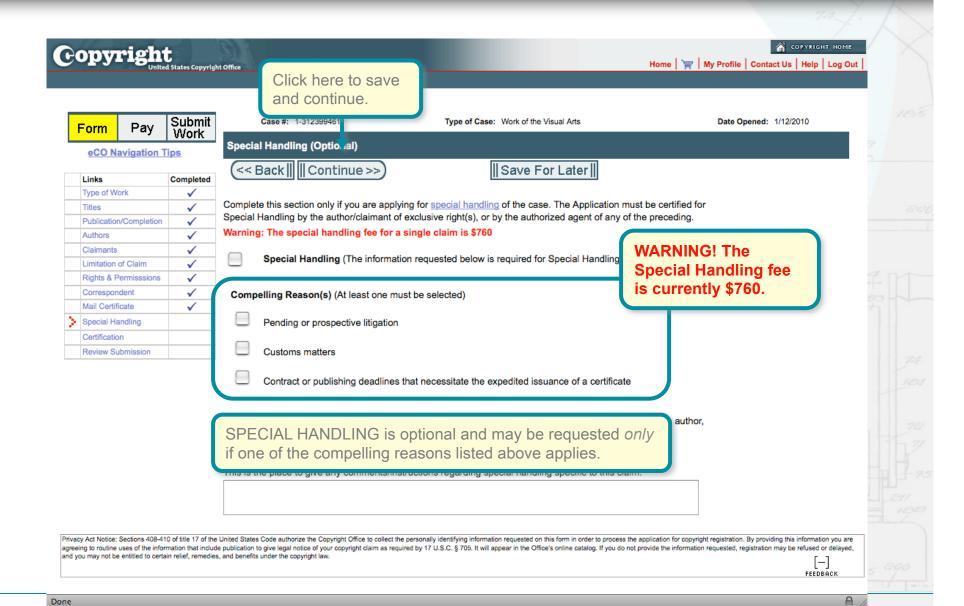




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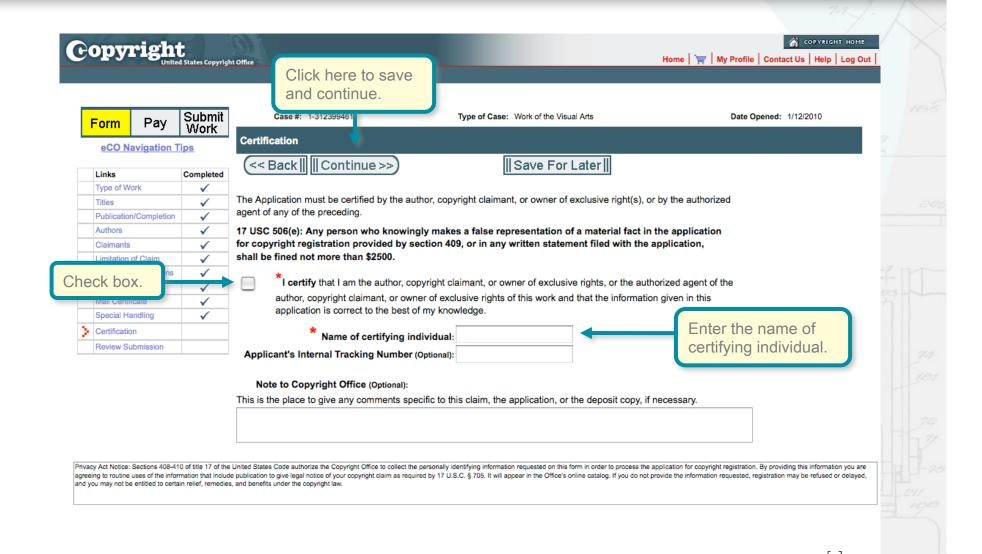
Special Handling





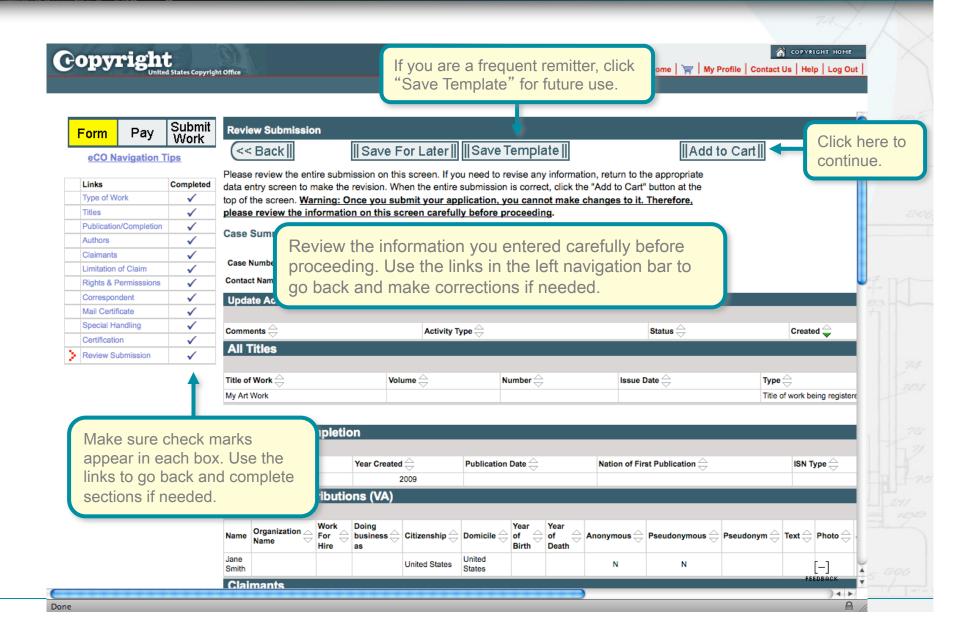
Certifying the Application





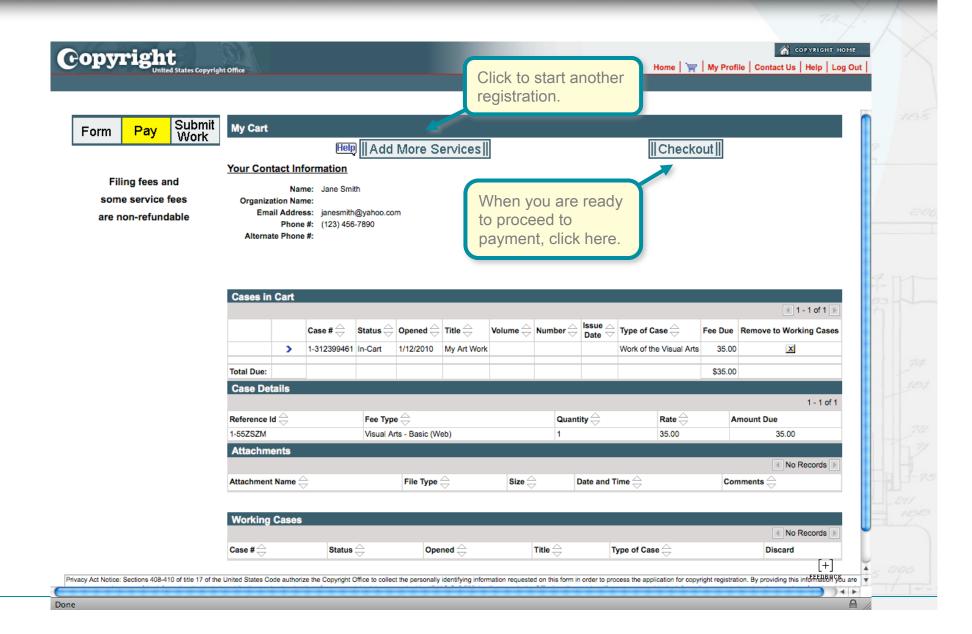
Reviewing the Submission





Additional Service or Checkout





Payment Method





Click payment method.

COPYRIGHT HOME My Profile | Contact Us | Help | Log Out

Form

Pay

Submit Work

My Cart

Help || Change Order ||

||Pay - Deposit Acct|| ||Pay - Credit Card / ACH||

Filing fees and some service fees are non-refundable Your Contact Information

Name: Jane Smith Organization Name:

> Email Address: janesmith@yahoo.com Phone #: (123) 456-7890

Alternate Phone #:

Address: 123 Main Street

City: Anytown State:

Postal Code: Country:

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Cases in Cart									
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	1-312399461	In-Cart	1/12/2010	My Art Work				Work of the Visual Arts	35.00
Total Due:									\$35.00
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Pay.gov: Electronic Funds Transfer



Online Payment	Return to your originating application
Step 1: Enter Payment Information This item is payable by Bank Account Debit (ACH) or Plastic Card ((ex: VISA Mastercard American Express, Discover)
Option 1: Pay Via Bank Account (ACH) About ACH Debit	Option 1 is for payment by electronic funds transfer. To pay by credit or
Required fields are indicated with a red asterisk *	debit card, scroll down to option 2.
Account Holder Name:	debit card, scroll down to option 2.
Payment Amount: \$35.00	
Account Type:	Provide the required
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Pay.gov: Credit or Debit Card



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Option 2 is for payment by credit or debit card.

pages being loaded incorrectly. Please use the links provided whenever possible.

Pay.gov: Authorizing the Payment



Online Payment		Return to your originating application	
Step 2: Authorize Payment		1 2	
Payment Summary Edit this information			
Address Information	Account Information	Payment Information	
Account Holder Name: Jane Smith	Card Type: Visa	Payment Amount: \$35.00	
Billing Address: 123 Main Street	Card Number: ********1111	Transaction Date 01/12/2010 13:16	
Billing Address 2:		and Time: EST	
City:			
State / Province:			
Zip / Postal Code:			
Country: USA			
Email Confirmation Receipt			
To have a confirmation sent to you upon co	ompletion of this transaction, provide an e	email address and confirmation below.	
Email Address:			
Confirm Email Address:		Enter your e	email address.
CC:		Separate multiple email addresses with a comma	
Authorization and Disclosure			
Required fields are indicated with a red	asterisk *		
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Paying with a Deposit Account





Click "Deposit Acct" to pay by account.

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Form

Pay

Submit Work

My Cart

Help || Change Order ||

||Pay - Deposit Acct|| ||Pay - Credit Card / ACH||

Filing fees and some service fees are non-refundable Your Contact Information

Name: Jane Smith

Organization Name: Email Address: janesmith@yahoo.com Phone #: (123) 456-7890

Alternate Phone #:

Address: 123 Main Street

City: Anytown

State: Postal Code: Country:

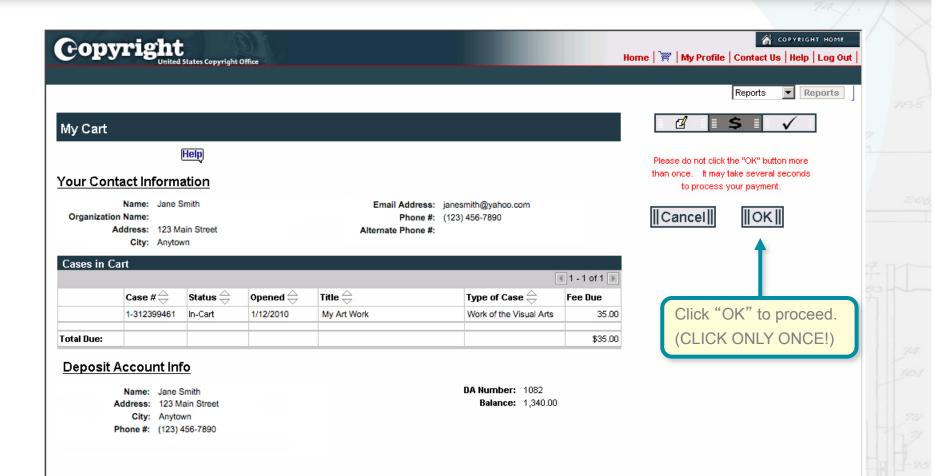
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	1-312399461	In-Cart	1/12/2010	My Art Work				Work of the Visual Arts	35.00
Total Due:									\$35.00

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> [+] FEEDBACK

Paying with a Deposit Account





After Paying





Form Pay Submit Work

1-3THFH8

Payment successful.

Click the Continue button to complete your registration.

Customer Information

Jane Smith

123 Main Street

Anytown,

Payment successful! You will receive an email confirming receipt of your application and payment.



Click here to continue.

Submissions

Cases					
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	Case # 👄	Title 👄	Type of Work ⇔	Fee Paid	Require Submission 👄
	1-230920574	My Art Work	Work of the Visual Arts	35.00	Y
Total Amount Due:				\$35.00	

Payment Info

Amount Paid: \$ 35.00

Account: XX1111

Paid By: JANE SMITH
Pay Date: 1/12/2010
Payment Type: Credit Card
Confirmation #: A1B1C1

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FEEDBACK

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Choosing Deposit Delivery Method





Please review deposit the Copyright Office.)

You may either submit y

(1) Electronic upload (

 Click the "Upload Der multiple cases in the

Electronic Deposit U **Upload Deposit** 2) Send by mail:

Click here if you intend to upload digital copies of your work.

Click here if you intend

to send hard copies of

lick the "Create Ship lick the Shipping Sli ttach shipping slips ail the deposit copy

end by Mail |||Create Shippi

edies, and benefits under the copyright law

Attachmant Name

An electronic copy of the work being registered may be uploaded directly into eCO if it is within one of the following categories:

- Unpublished work 1.
- Work published only electronically 2.
- 3. Published work for which the deposit requirement is identifying material
- Published work for which there are special agreements requiring a hard-copy deposit to be sent separately to the Library of Congress

For works that require hard-copy deposits, you can still submit an application and payment by eCO and send copies of your work to the Copyright Office by the U.S. Postal Service or express courier.

Click to close this dialog box. "Home" after uploading files(s) or printing shipping slip(s). You may verify the submission in the open Cases table on your eCO ne page.

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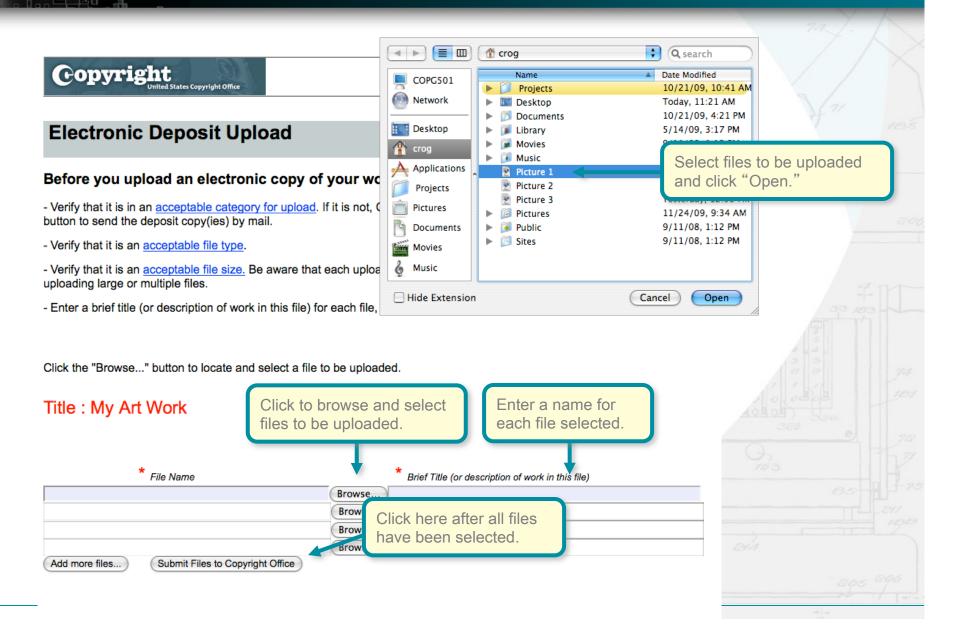
FEEDBACK



your work.

Uploading a Deposit





Confirming the Upload



Copyright Home

Upload successful

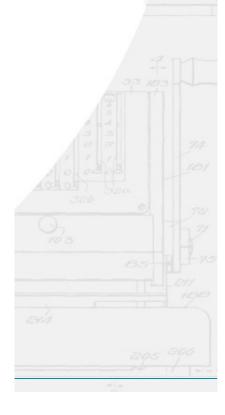
The following files were successfully uploaded for service request 1-191183401:

Picture 1.png

Please note the service request nu

Close Window

File upload successful! Click "Close Window" to finish. You will receive an email confirming receipt of your uploaded file(s).



Sending a Deposit by Mail





Please review <u>deposit copy requirements</u> before proceeding. ("Deposit Copy" refers to the copy of the work being registered with the Copyright Office.)

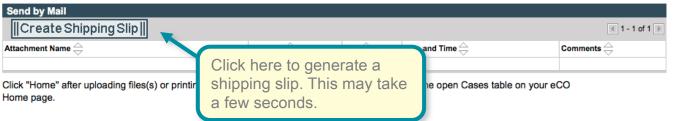
You may either submit your deposit copy(ies) as (1) an electronic file(s) or (2) by mail. Do not do both.

(1) Electronic upload (see restrictions): Ensure that your browser's pop-up blocker is disabled.

Click the "Upload Deposit" link in the table below and browse and select the electronic file(s) for the corresponding work. If there are
multiple cases in the table, repeat these steps until the files for all cases have been submitted.



- (2) Send by mail:
- · Click the "Create Shipping Slip" button in the table below; a Shipping Slip link will appear in the Attachments column.
- Click the Shipping Slip link and print out and attach the shipping slip(s) to your deposit copy(ies). For multiple cases, be sure to attach shipping slips to the corresponding copies.
- . Mail the deposit copy(ies) within 30 days to the Copyright Office address at the bottom of the slip.



Privacy Act Notice: Sections 408-410 of title 17 of the United States Code authorize the Copyright Office to collect the personally identifying information requested on this form in order to process the application for copyright registration. By providing this information you are agreeing to routine uses of the information that include publication to give legal notice or you copyright claim as required by 17 U.S.C. § 705. It will appear in the Office's online catalog. If you do not provide the information requested, registration may be refused or delayed, and you may not be entitled to certain reliafe, remedies, and benefits under the copyright law.

H FEEDBACK

Sending a Deposit by Mail





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Click "tome" after uploading files(s) or printing shipping slip(s). You may verify the submission in the open Cases table on your eCO ne page.

Click link to view and print the shipping slip.

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H FEEDBACK

Printing a Shipping Slip



Be sure to print and attach a shipping slip to each work being submitted for registration.

Library of Congress 101 Independence Avenue SE Washington, DC 20559-8000



U.S. Copyright Office Receipt

Deposit Copy Shipping Slip for Deposit Copies Sent to Accompany an Electronically Submitted Application

Case / SR#:	1-240407164
Case Date:	01/13/2010
Title:	My Art Work
Volume:	
Number:	
Issue Date:	
Applicant's Internal Tracking	
Materials Submitted:	
Quantity	Format
Issue Date:	

Instructions are provided on the shipping slip.

Be sure to use the address listed on the shipping slip.

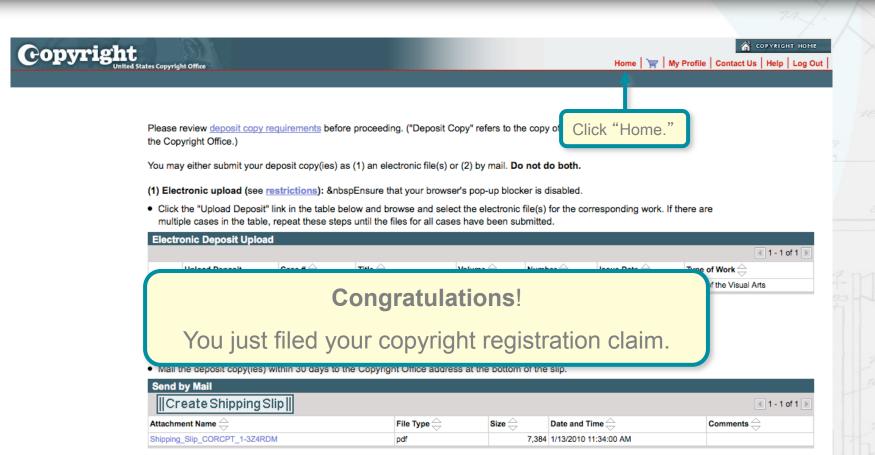
- 1. Attach this shipping slip securely to the deposit copy or copies for this work.
- II. Mail the deposit copy or copies within 30 days of the case date listed above.

Please Mail To:

Library of Congress Copyright Office - VA 101 Independence Avenue, SE Washington, DC 20559 - 6211

Finishing the Registration





Click "Home" after uploading files(s) or printing shipping slip(s). You may verify the submission in the open Cases table on your eCO Home page.

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After Submitting a Claim





Check Case Status Open Cases Working Cases All Cases My Company's Cases Status Definitions Search My Cases My Applications My Company's Applications Copyright Services

Electronic Copyright Office (eCO)

United States Copyright Office Library of Congress

Click "My Applications" to view and print a copy of your application for your records.

Register a New Claim Preregister a Claim Amend a Prior Registration (CA) Renewal Registration Register a Group Claim Use a Template Organization / DA

- View a Tutorial on how to Register a New Claim
- Print a copy of eCO Tips to use as a reference
- Frequently Asked Questions (FAQs)
- What's new in eCO?

Additional Copyright Services

- Request Search CO Records - Request Search - CO In Process,
- Inspection, Authorization / Litigation - Request Records
- Access Copyright Office Information
- Request Inspection - Ask a Question? - Read Circulars
- Search Online Records

Open Case	Open Cases									
Query 4 1 - 3 of 3								1 - 3 of 3 🕨		
Case # 🚔	Status 🗁	Opened 🚔	Title 😄	Volume 😄	Number 😄	Issue △ Date ▽	Type of Work 🚔	Fee Paid	Action Needed	
1-240407164	Open	1/13/2010	My New Art Work				Work of the Visual Arts	35.00		
1-230920574	Open	1/12/2010	My Art Work				Work of the Visual Arts	35.00		
1-191183401	Open	1/6/2010	My Art Work				Work of the Visual Arts	35.00		

You can return to eCO at any time to check the status of a claim by clicking the case number.

What's new in eCO?

were made to the eCO Registration System. on your Home Page for details.



Done



Deposit Requirements: Computer Programs Without Trade Secrets



- One copy of identifying portions
 - Generally: First 25 & Last 25 Pages
 - If Less than 50 Pages: Entire Source Code
 - If No Beginning/End: Reasonably Represent First & Last 25
- Visually perceptible without machine/device (Paper/Microform)
- Page containing copyright notice (if any)
- May upload Source Code (PDF Preferred)
- CD-ROM: Send Entire CD-ROM
- Mail any accompanying manuals, operating system, etc.
- If Unwilling to Deposit Source Code
 - Submit "Dump" of Object Code (First & Last 25)
 - State in Writing that Work contains Copyrightable Authorship
 - Rule of Doubt applies (presumption of ownership not guaranteed)

Deposit Requirements: Computer Programs With Trade Secrets



- Cover Letter stating claim contains trade secrets
- Page containing copyright notice (if any)
- Source Code Deposit
 - Entirely New Programs: Any one of the following
 - First and Last 25 Pages with portions (containing trade secrets) blocked out
 - First and Last 10 Pages with no blocked out portions
 - First and Last 25 Pages of Object Code + any 10 or more consecutive pages of source code with no blocked out portions
 - If Less than 50: Entire source code with trade secret portions blocked out
 - Revised Computer Programs
 - If revisions present in first/last 25 pages, any four of the above
 - If revisions not present in first/last 25 pages, either:
 - 20 Pages of source code containing revisions with no blocked out portions, or
 - 50 pages of source code containing the revisions with some portions blocked out

Licensing Considerations



- Does the Client require permission?
- Who owns the work?
- What rights does the client require?
- Whether client is willing to pay for a license
- Key Terms
 - Rights Granted
 - Payment
 - Term
 - Representations and Warranties of Licensor
 - Obligations of Licensee

Fair Use: 17 U.S.C. 107



- Use of material for criticism, comment, news reporting, teaching, scholarship, and research.
- Key: Copyright Owner's Interest vs. Public Interest
- Factors:
 - Purpose and Character: commercial vs. non-commercial
 - Nature of the Copyrighted Work: Fiction/Creative vs. Fact
 - Amount and Substantiality of Portion Used
 - Effect of use on Value or Potential Market



Thank you!

Questions/Comments?